

FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.  
1300 I STREET, N. W.  
WASHINGTON, DC 20005-3315

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**Box Patent Application**  
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**Washington, D.C. 20231**

New U.S. Patent Application  
Title: DNA CONSTRUCT FOR THE TISSUE-SPECIFIC EXPRESSION OF A  
BLOOD COAGULATION FACTOR  
Inventors: Claude NÉGRIER, Marie Hélène RODRIGUEZ and  
Nathalie ENJOLRAS

Sir:

We enclose the following papers for filing in the United States Patent and  
Trademark Office in connection with the above patent application.

1. A check for \$730 representing a \$690 filing fee and \$40 for recording the Assignment.
2. Application - 20 pages, including 1 independent claim and 7 claims total.
3. Drawings - 12 sheets of formal drawings containing 12 figures.
4. Declaration and Power of Attorney.
5. Recordation Form Cover Sheet and Assignment to Aventis Behring GmbH .
6. Certified copy of European Patent Application No. 99107397.4, filed April 27, 1999.



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7. Information Disclosure Statement and Information Disclosure Citation, PTO 1449 with 24 documents attached.
8. Sequence Listing (3 pages)

Applicants claim the right to priority based on European Patent Application No. 99107397.4, filed April 27, 1999.

Please accord this application a serial number and filing date and record and return the Assignment to the undersigned.

The Commissioner is hereby authorized to charge any additional filing fees due and any other fees due under 37 C.F.R. § 1.16 or § 1.17 during the pendency of this application to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

By:



Ernest F Chapman  
Reg. No. 25,961

EFC/FPD/dvz  
Enclosures



**AVENTIS BEHRING GMBH**

**1999/Z004 – Ma 1204 – C36**

**DNA-construct for the tissue-specific expression of a blood coagulation factor**

Subject of the invention is a DNA-construct for the tissue-specific expression of a blood coagulation factor.

It is known that Factor IX (FIX) is a vitamin-K dependant glycoprotein which is produced by hepatocytes and secreted into the blood circulation (Kurachi et al., 1995; Salier et al., 1990). The FIX gene is located on the X-chromosome and contains 8 exons and 7 introns (Camerino et al., 1984; Boyd et al, 1984; Yoshitake et al., 1985). Absence or deficiency of Factor IX causes a severe bleeding disorder called hemophilia B (Roberts et al., 1993).

Hemophilia B is a disorder arising from modifications of a single known gene. It was therefore early proposed as a potential target for gene therapy. The cells chosen as target have to be easily accessible for gene transfer and need to be able to express Factor IX at a significant level. In these target cells, the FIX molecule needs to be modified to become an active coagulation factor ( $\gamma$ -carboxylation and cleavage of the propeptide). Several potential target cells were tested such as hepatic cells (Nakai et al., 1998), keratinocytes (White et al., 1998), muscle cells (Baru et al., 1995; Wang et al., 1997), or bone marrow stromal cells (Cherington et al., 1998). In vitro, the human myeloid leukemia cell line (HL60) transfected with a retroviral vector bearing a human FIX cDNA was shown to be able to produce a biologically active FIX (Hao et al., 1995). This invention is directed to the expression of blood coagulation factors in hematopoietic cells and specifically in platelets.



As hematopoietic cells the human erythroleukemia cell line HEL has already been used which mainly expresses an erythroid phenotype (Martin et al., 1982) but also some megakaryocytic markers like platelets membrane glycoproteins (Tabilio et al., 1984). After induction by phorbol-12-myristate-13-acetate (PMA), HEL cell line expresses increased amounts of megakaryocytic proteins like glycoprotein IIb/IIIa complex, Platelet Factor 4, or von Willebrand Factor (Long and coll., 1990). The PMA effects are mediated via the activation of protein kinase C (Nishizuka et al., 1984; Hong et al., 1996).

The human platelet glycoprotein IIb (GPIIb) is the  $\alpha$  subunit of the platelet integrin  $\alpha$ IIb $\beta$ 3 also known as IIb-IIIa complex which functions as a specific receptor for fibrinogen, fibronectin and von Willebrand's factor (Haas and Plow, 1994). GPIIb cDNA was initially isolated from mRNA libraries from HEL cells and human megakaryocytes (Uzan et al., 1988; Frachet et al., 1990). The human GPIIb gene was identified by Prandini et al. (1988).

The tissue specificity of the GPIIb promoter was assessed using the chloramphenicol acetyltransferase (CAT) reporter gene. Under GPIIb promoter control, a CAT expression was only detected in the hematopoietic cell line HEL (Uzan et al., 1991).

In order to treat hemophilia B by gene therapy it is necessary to have a tissue-specific expression of Factor IX. The present invention provides a solution to the problem of expressing blood coagulation factors in such hematopoietic cells.

It has now been found that a DNA-construct is suitable for a tissue-specific expression of a blood coagulation factor if it comprises a DNA coding for an amino acid sequence of a blood coagulation factor and a DNA coding for a promoter which is specific for the expression in hematopoietic cells. The solution to the above-mentioned problem has been demonstrated with a DNA-construct wherein as promoter the DNA coding for the human platelet glycoprotein IIb (=GPIIb) has been used.



### A. Construction of the chimeric molecules

### 1. Generation of the FIX cDNAs:

Wild type FIX gene contains 3 ATG codons in frame clustered at aminoacid -46, -41 and -39. In order to try to improve FIX production, five FIX cDNA expression vectors with different ATG sites have been produced, directed by the CMV promoter. The truncated FIX intron 1, previously shown to have an expression-increasing activity in HepG2 cell line (Kurachi et al., 1995) was cloned and introduced in FIX cDNAs. In an initial part of this project, stable transfections of CHO cells with CMV-FIX(-41S) and CMV-FIX(-41S.I1) constructs led to the best FIX production in the culture supernatants. These two constructs FIX(-41S) and FIX(-41S.I1) cDNAs which are bearing only the -41 ATG were therefore the first FIX constructs used to transfect the hematopoietic cell line. The modified 5'extremity of the F IX(-41S)cDNA (SEQ.ID.No.1) is

**-41S:** 5'TGGATCCTTGGAGCGCGTGAACATGATCTTGG 3'

However, all the results obtained with FIX(-41S) cDNAs were further confirmed with the FIX(WT) and FIX(WT.I1) cDNAs. The 5'extremity of FIX WT cDNA (SEQ.ID.No.2) is

WT: 5'TGGATCC**ATGCAGCGCGTGAACATGATCATGGCAGAA** 3'



## 2. Generation of the GPIIb promoter vectors

The lineage-specific promoter GPIIb was chosen to express FIX transgenes in hematopoietic cells. The pBLCAT-GPIIb vector bearing the -643/+33 GPIIb promoter and the chloramphenicol acetyltransferase (CAT) reporter gene was obtained from G. Uzan.

In order to use pcDNA3 vectors (Invitrogen, the Netherlands) as an expression vector for FIX (which has more restriction enzyme sites and a neomycine resistance gene and appeared, therefore, more convenient for the next studies), the endogenous CMV promoter was replaced by the GPIIb promoter. This promoter was sorted from the pBLCAT-GPIIb vector after HindIII-BamHI digestion. This product encompassed -597 to +33 fragment of the GPIIb promoter (SEQ.ID.No.3) comprising the crucial domains for the promoter specificity (Uzan et al., 1991 and 1995). It was introduced in a CMV-deleted pcDNA3 opened by the same enzymes. The construct obtained was the so called pcDNA3-GPIIb (SEQ.ID.No.4 and Figure 1). All the FIX cDNAs were sub-cloned in pcDNA3-GPIIb plasmid using BamHI-XhoI digestion.

## 3. Generation of the $\beta$ -Galactosidase vectors

To test the activity of the truncated (-597/+33) GPIIb promoter in HEL cell line, the  $\beta$ -Galactosidase reporter gene was chosen. The pUT535 vector (Cayla, Toulouse, France) where the  $\beta$ -Gal gene expression is directed by the CMV promoter and enhancer (Figure 2) was chosen. To exchange the CMV sequences by the truncated GPIIb promoter, the GPIIb promoter was digested by HindIII-BamHI, treated with Klenow DNA polymerase to obtain blunt extremities and then introduced in BstEII-NcoI-opened pUT535 treated with Klenow DNA polymerase. The GPIIb- $\beta$ -Gal vector was named: pUT535-GPIIb (Figure 2). The negative control plasmid (pUT535- $\delta$ CMV) was the pUT535 deleted of the CMV sequences by BstEII-NcoI digestion.



## **B. Tissue-specificity of the GPIIb promoter in hematopoietic cells**

pUT535, pUT535 $\delta$ CMV and pUT535-GPIIb constructs were tested in two different cell lines: HEL and HeLa cells. The HEL cell line (Human Erythroleukemia Cells) is a classical cell line used to test megakaryocytic promoter expression. HeLa cells (Human cervix epitheloid carcinoma) are their classical negative control counterpart.

### **Materials and methods:**

HEL cells were maintained in RPMI/10% FCS medium with 5% CO<sub>2</sub>.  $\beta$ -Gal vectors (2  $\mu$ g DNA) were transfected in HEL cells ( $1 \times 10^6$  cells) using FUGENE<sup>TM</sup> 6 (Boehringer Mannheim). After overnight incubation, the cells were harvested and placed in 10 ml of RPMI/1% BSA (Boehringer, Mannheim). PMA (Sigma) was added to the medium in one of the two assays (final concentration: 1nM). HeLa cells were maintained in DMEM-10% FCS with 5% CO<sub>2</sub>. 90 mm Petri dishes with  $5 \times 10^6$  cells were prepared the day before transfection. The cells were treated with 20  $\mu$ g DNA and 20  $\mu$ l Lipofectin<sup>TM</sup> reagent (Gibco) for 5 hours. After this incubation time, the cells were washed and placed in DMEM-1% BSA with or without PMA 1nM. The cells were harvested 40 hours later, incubated with a lysis buffer, and the lysates were diluted to a final protein concentration of 1.5 mg/ml. The chemiluminescent  $\beta$ -Gal reporter Gene Assay kit (Boehringer Mannheim) was used as described by the manufacturer to quantify the  $\beta$ -galactosidase.



## Results:

In HeLa cells,  $\beta$ -Gal reporter gene was expressed only when the cells were transfected with a vector containing the CMV promoter, without and with PMA induction. No  $\beta$ -Gal expression was found with the construct directed by GPIIb promoter (Figure 3, panel A).

In HEL cells (Figure 3, panel B), CMV gave the highest  $\beta$ -Gal production (Table 1 below). However, GPIIb promoter led to the production of a significant amount of  $\beta$ -Gal with an increase in production after PMA treatment. When the  $\beta$ -Gal amount obtained with pUt535 in HEL cells was taken as 100%, the  $\beta$ -Gal amount with truncated GPIIb promoter was 13% in the cells without PMA treatment. In comparison, Uzan et al. (1991) found that the CAT activity with the GPIIb promoter corresponded to 15% of the CAT activity obtained with the RSV promoter control in the same cell line.

	$\beta$ -Gal			
	-PMA		+PMA	
	U/ml	%	U/ml	&
CMV promoter	2959	100	22910	100
GPIIB promoter	376	13	662	3

Table 1:  $\beta$ -Gal activity in transiently transfected HEL cells

In conclusion, -597/+33 GPIIb promoter induced a tissue-specific expression of the reporter gene in the hematopoietic cell line since no  $\beta$ -Gal expression was detected in HeLa cells. In these transient transfections of HEL cells,  $\beta$ -Gal production obtained with this hematopoietic-specific promoter was low in comparison with the results measured with the CMV promoter. However, the results obtained in vitro are similar to the results published by Uzan et al. (1991).



## C. FIX transient expression in HEL cells

To test the possibility of producing FIX in hematopoietic cells, HEL cells were transiently transfected with FIX vectors bearing either CMV or GPIIb promoters, called CMV-FIX and GPIIb-FIX, respectively. The study was first done with the FIX(-41S) constructs and the results were confirmed with the FIX WT constructs.

### 1. Materials and methods

$7.5 \times 10^6$  HEL cells were transfected with 20  $\mu$ g DNA using 20  $\mu$ l Superfect Transfection reagent (Qiagen). After 6 hours, the cells were harvested and placed in RPMI/1%BSA  $\pm$  PMA 1nM at a final concentration of  $2.5 \times 10^5$  cells/ml. The supernatants were collected after 96 hours and the cells were numbered.

For the FIX WT constructs, pcDNA3-FIX WT and pcDNA3 WT.I1 have been transfected in HEL cells ( $1 \times 10^6$  cells) using 6  $\mu$ g, Eugene 6 and 2  $\mu$ g DNA. Following overnight incubation, the cells were harvested and placed in 5 ml of RPMI/1% BSA/PMA 1nM for CMV-FIX transfections and in 2.5 ml of induction medium for the GPIIb-FIX transfections. Four days after transfection, the supernatants were recovered and the cells were numbered.

### 2. Results with FIX(-41S) constructs

When the cells were incubated without PMA, the FIX production obtained with the CMV-FIX construct was very low and not accurately detectable by ELISA (Asserachrom FIX, Stago, Asnières, France). The results presented in Figure 4 were obtained after induction with 1nM PMA. Under CMV promoter control, FIX secretion by CMV-FIX (-41S)-transfected HEL cells was low (408.66 pg/ml) and not significant. The best production was obtained with the cells transfected with FIX(-41S.I1). The mean production was 2-fold higher than with FIX(-41S) cDNA and was significantly different from the result obtained with FIX(-41S) cDNA ( $p < 0.05$ ). A



similar result was obtained when adjusting with the cell number. Indeed, HEL transfected with FIX(-41S.I1) produced  $4.5 \pm 2.5 \text{ ng}/1 \times 10^6 \text{ cells}$  and this result was significantly different from the result obtained with FIX(-41S)-transfected HEL cells ( $1.5 \pm 0.9 \text{ ng}/1 \times 10^6 \text{ cells}$ ;  $p < 0.01$ ).

Similar experiments were done with the GPIIb-FIX constructs, and in this case ( $2.5 \times 10^5 \text{ cells/ml}$  after transfection), the FIX production was too weak to be detectable in the supernatants. Microsep™ microconcentrators (Pall Gelman Sciences) with a 30Kd cut-off were used to concentrate the proteins. In the concentrated GPIIb-FIX(-41S.I1) supernatant obtained with, PMA-stimulated HEL cells, FIX protein was barely detectable. This result was in agreement with the results obtained with the  $\beta$ -Gal reporter gene (Table 1), confirming that the GPIIb potential was low in comparison with the CMV promoter.

### 3. Results with FIX WT constructs

Figure 5 presents the FIX production obtained with the FIX WT. These results confirm that:

- HEL cells are able to produce FIX after transient transfection.
- The best production levels were obtained with FIX WT.I1 constructs and were significantly different from the corresponding FIX WT results.
- After transient transfections, the GPIIb promoter was less effective for FIX production than the CMV promoter in HEL cells. GPIIb-FIX WT.I1-transfected HEL cells produced about 30 percent of the FIX production obtained with the same cDNA under CMV promoter control ( $257,71 \pm 85,38 \text{ pg}/1 \times 10^6 \text{ cells}$  versus  $881,28 \pm 288,32 \text{ pg}/1 \times 10^6 \text{ cells}$ ).



#### **D. FIX expression in stably transfected HEL cells**

As mentioned above, in the transient expression system, a low FIX production was obtained. Therefore, cells stably expressing FIX were generated.

##### **1. Materials and methods**

Cells: HEL cells ( $1 \times 10^6$  cells) were transfected with 2  $\mu$ g of PvuI linearized plasmid during 5 hours using FUGENE<sup>TM</sup> 6 transfection system (Boehringer, Mannheim). After incubation, the cells were harvested and placed in fresh medium supplemented with 0.6 mg/ml G418.

To compare FIX production, the G418-resistant cells were placed in RPMI/1% BSA supplemented with Vitamin K 1ng/ml  $\pm$  PMA 1nM ( $2.5 \times 10^5$  cells/mL). After 1, 2, 3 or 4 days of incubation, the cells were numbered and the supernatants were frozen. FIX concentrations were measured using a FIX ELISA kit (Asserachrom FIX, Stago, Asnières, France).

**Immunoblotting analysis:** Supernatants (1 ml) were diluted fourfold in an equilibration buffer (Hepes 10 mM, KCl 100 mM, MgCl<sub>2</sub> 2 mM, Triton x100 0.1%). Fifty microliters of anti-human factor IX antibody bound to DEAE A50 Sephadex kindly provided by Centeon<sup>TM</sup> (USA) were added to the supernatant solutions and incubated 1 hr at 4°C. The DEAE was then collected by centrifugation (2 min at 2500 rpm) and washed three times with the equilibration buffer. Factor IX was eluted by Laemmli buffer (Laemmli, 1970). Samples containing Factor IX were subjected to electrophoresis on SDS-PAGE/10% polyacrylamide gel and semidry blotted onto Hybond<sup>TM</sup> C Pure membrane (Amersham). The blots were blocked with TBS-(0.15 M NaCl, 10 mM Tris-HCl, pH 7.5) Tween (0.1%) for 1 hr at room temperature and then incubated with 1:3,000 dilution of a polyclonal rabbit anti-human FIX antibody (Dako<sup>TM</sup>). The membrane was washed 3 times in TBS-T and then incubated with a 1:10,000 dilution of a peroxidase-labeled anti-rabbit antibody (Biorad) for 30 min.



After washing 3 times, a chemiluminescent signal was detected by autoradiography using the ECL System (Amersham<sup>TM</sup>).

**Procoagulant activity:** Factor IX activity was determined by a one-stage clotting assay. Fifty microliters of control plasma were diluted (1/10) in imidazole buffer (Diagnostica Stago, Asnieres, France). Fifty microliters of diluted supernatant were added to 50  $\mu$ l of deficient Factor IX plasma (Immuno, Illkirch, France) and to 50  $\mu$ l of Silimat<sup>®</sup> cephalin (Bio-merieux<sup>TM</sup>, Marcy l'Etoile, France). After 4 min incubation at 37°C, clotting was initiated by addition of 50  $\mu$ l of 50 mM CaCl<sub>2</sub> buffer. Coagulation times were measured in a STA Compact<sup>®</sup> coagulometer (Diagnostica Stago<sup>TM</sup> Asnières, France) and Factor IX activity was determined from a log-log standard curve.

**FIX activation by factor XIa:** FIX was immunoprecipitated and further activated in vitro by Factor XIa (Enzyme Research Lab., Swansea, UK) with a ratio enzyme/substrate of 1/40. Activation buffer consisted of Tris HCL 50 mM, NaCl 150mM, CaCl<sub>2</sub> 5mM, polyethylene glycon 0.01%, pH 7.5. Reactions were incubated for 30 mns and 1 hour at 37°C, and reated samples were thereafter diluted in Laemmli buffer.

**Northern blot analysis:** Total mRNAs were prepared from 7x10<sup>6</sup> stably transfected HEL cells usind the Rneasy Mini Kit (Qiagen, Courtaboeuf, France). After electrophoresis on 1.2% agarose gel in phosphate buffer (10mM NaH<sub>2</sub>PO<sub>4</sub>, 10mM Na<sub>2</sub>PO<sub>4</sub>, pH 7), mRNAs were transferred on a nylon membrane (Hybond N, Amersham, Les Ulis, France). RNA probes consisting of full length human factor IX or rat GAPDH were generated and labeled with NTP containing digoxigenin-UTP using the invitro transcription system with T7 RNA polymerase kit (Boehringer Mannheim Meylan, France). Follwing hybridization, the signals were detected by chemiluminescence using the DIG Luminescent Detection Kit from Boehringer Mannheim (Meylan, France).



## **2. Daily FIX production of FIX(-41S.I1) expressing HEL cells**

### **2.1. CMV-FIX(-41S.I1) transfected HEL cells**

No FIX was detected in the supernatants of pcDNA3-transfected HEL cells (Figure 6). After 4 days, 11,65 ng/ml of FIX were detected in the conditioned medium of the CMV-FIX(-41S.I1) transfected cells. Following PMA induction, FIX production (259,87 ng/ml) was 23-fold higher than the production obtained without PMA and was 250-fold higher than the result obtained with transient transfections.

### **2.2. GPIIb-FIX(-41S.I1) transfected HEL cells**

When HEL cells were transfected with pcDNA3-GPIIb vector, no FIX was detected in the conditioned medium. With the GPIIb-FIX(-41S.I1) HEL cells, FIX increased regularly during the 4 days of incubation (Figure 7). After 4 days of culture, GPIIb-FIX(-41S.I1) pools produced  $35.0 \pm 7.8$  ng/ml of FIX in the supernatant. Following PMA induction, the FIX production reached  $165.0 \pm 32.52$  ng/ml.

### **2.3. Procoagulant activity**

The FIX procoagulant activity was directly correlated with the FIX antigen in the supernatants from HEL cells (Figure 8). The specific activity of the FIX produced by GPIIb-FIX(-41S.I1)-expressing HEL cells (culture medium: RPMI/1% BSA) was  $0.34 \pm 0.07$  mU/ng.

### **2.4. FIX immunoprecipitations**

The supernatants of transfected HEL cells were immunoprecipitated and Western blotted to verify the molecular weight of the secreted protein. Purified recombinant Factor IX (Mononine<sup>TM</sup>) was used as control. In the supernatants of pcDNA3-GPIIb expressing cells, no FIX was detected. In the GPIIb-FIX(-41S.I1) HEL cell super-



natants without or with PMA induction, one specific band was detected with an approximative molecular weight of 60 kDa. This protein migrated at the same level as immunopurified FIX (Mononine<sup>TM</sup>).

### **3. Daily FIX production of FIX(WT) and FIX(WT.I1) expressing HEL cells**

#### **3.1. Comparison of the efficacy of the CMV and the GPIIb promoters after stable transfections**

HEL cells were stably transfected with the following constructs: pcDNA3.1 and pcDNA3-GPIIb used as negative controls, GPIIb-FIX(WT), GPIIb-FIX(WT.I1) and also the corresponding CMV constructs (CMV-FIX(WT) and CMV-FIX(WT.I1)). Figure 9 represents the results obtained with CMV-FIX and GPIIb-FIX HEL cells. As expected, in the two negative controls (pcDNA3 and pcDNA3-GPIIb), no FIX was detected in the supernatants. When the expression of FIX(WT) was directed by the GPIIb promoter, a significantly higher FIX production was measured in the supernatants compared to CMV-FIX. GPIIb-FIX(WT) expressing HEL cells produced about 5 to 10-fold higher amounts of FIX than the HEL cells transfected with CMV-FIX(WT) in the same conditions ( $\pm$  PMA).

#### **3.2. Intron 1 increases FIX production**

We studied the ability of FIX(WT.I1) directed by the GPIIb promoter to increase FIX production in HEL cells. The evaluation of factor IX concentration in the supernatants demonstrated that the highest production was obtained with FIX(WT.I1) construct. Indeed, after 4 days culture, GPIIb-FIX(WT.I1)-transfected HEL cells secreted about 30-fold higher amounts of FIX than the GPIIb-FIX-expressing HEL cells (Figure 10). When the cells were stimulated with PMA, GPIIbFIX.I1-transfected cells also produced higher FIXC amounts ( $\times 12$ ) in



comparison with the GPIIb-FIX-expressing cells (Day 4 values:  $398.04 \pm 94.23$  ng/mL versus  $32.12 \pm 14.85$  ng/mL of FIX).

### 3.3. Characteristics of recombinant FIX produced in HEL cell supernatant

In order to further characterize the FIX protein in the supernatants of HEL cells, FIX was immunoprecipitated and the samples were subjected to electrophoresis and blotted. In pcDNA3-GPIIb expressing HEL cells, a single unspecific band was detected with the secondary antibody alone. In GPIIb-FIX(WT) and GPIIb-FIX(WT.I1) transfected HEL cells, a specific band was detected at around 60 kDa. This molecular weight was similar to the previously detected FIX(-41SI1), to the control and to the FIX produced by pcDNA3-CMV/FIX(WT.I1)-expressing HepG2 cells. The difference in the intensity of the bands on the immunoblot analysis was directly correlated with the antigen amount presented in Figure 10.

### 3.4. FIX procoagulant activity and FIX activation by FXIa

It was previously shown that FIX needs to be properly processed to be fully active. Factor IX coagulant activity was measured in the supernatant of PMA-stimulated GPIIb-FIX(WT.I1) transfected HEL cells (Figure 11). The FIX procoagulant activity was directly correlated to the antigen concentration, indicating a correct processing of the molecule. The mean FIX specific activity in the supernatants was  $160 \pm 69$  U/mg. During the coagulation cascade, FIX needs to be activated by FXIa. The FIX cleavage after incubation with FXIa, i.e. activation of the immunoprecipitated FIX from HEL cells resulted in the appearance of two bands corresponding to the heavy chain (29 kDa) and the light chain (19 kDa). The rate of activation and the protein profile from HEL supernatants was similar to the results obtained with Mononine.

### 3.5. Northern-blot analysis

We have shown that the amount of FIX protein produced by HEL cells was closely related to the presence of FIX intron 1 in the FIX cDNA. We have analyzed FIX



mRNAs from different transfections of HEL cells. No mRNA hybridized with the FIX mRNA probe in pcDNA3-GPIIb transfected HEL cells without or with PMA induction. In cells transfected with the FIX(WT.I1) constructs, one specific band was detected, which exhibited the correct 1.4 Kb mRNA size. In the GPIIb-FIX(WT) HEL cells, FIX mRNA was detectable following PMA induction only. These mRNAs have the same size than those detected in GPIIb-FIX(WT.I1) HEL cells. The mRNA amounts in the different lysates were directly correlated to the antigen amounts detected in the supernatants, therefore explaining the absence of detection in unstimulated GPIIb-FIX(WT) HEL cells. However, it was confirmed that the expected mRNA was detected in these cells when using larger amounts of total mRNA.

### **3.1E. Conclusion**

The above-mentioned results show that the hematopoietic cell line HEL can produce and secrete fully active FIX. After transient transfections, the highest production was obtained with CMV-FIX.I1 HEL cells in comparison with the CMV-FIX HEL cells, demonstrating the expression-increasing activity of the FIX intron 1 in this hematopoietic cell line. Using the GPIIb promoter, the FIX production was tissue-specific. Stable transfections have demonstrated that GPIIb promoter was effective in inducing FIX transcription in HEL cells and that FIX intron 1 had an important expression-increasing activity. The secreted FIX protein has a molecular weight of about 60 kDa, similar to the FIX contained in Mononine<sup>TM</sup> and then seems to undergo a normal maturation process. Finally, the FIX coagulant activity using a one-stage clotting assay corresponded to the FIX antigen. The protein profile after FXIa activation was similar to the profile obtained with Mononine, indicating that the recombinant molecule was fully active. These results obtained with HEL cells reinforce the hypothesis that FIX protein can be produced by CD34+ hematopoietic stem cells.



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**AVENTIS BEHRING GMBH**

**1999/Z004 – Ma 1204 – C36**

**Claims for USA:**

1. DNA-construct for the tissue-specific expression of a blood coagulation factor comprising a DNA coding for an amino acid sequence of a blood coagulation factor and a DNA coding for a promoter which is specific for the expression in hematopoietic cells.
2. DNA-construct as claimed in claim 1, wherein as promoter the DNA coding for the human platelet glycoprotein IIb (GPIIb) is inserted.
3. DNA-construct as claimed in claim 1 wherein the DNA coding for the amino acid sequence of an blood coagulation factor is the cDNA coding for Factor IX.
4. DNA-construct as claimed in claim 1, wherein the first truncated intron (Intron 1) of the human FIX gene has been inserted additionally into the FIX-cDNA.
5. Process for the production of Factor IX wherein the Factor IX is expressed in a hematopoietic cell line into which the DNA-construct of claim 1 has been transfected.
6. Process as claimed in claim 5, wherein the production of Factor IX is stimulated by an inducer.
7. Process as claimed in claim 6, wherein the production of Factor IX is stimulated by phorbol-12-myristate-13-acetate (PMA).



AVENTIS BEHRING GMBH

1999/Z004 – Ma 1204 – C36

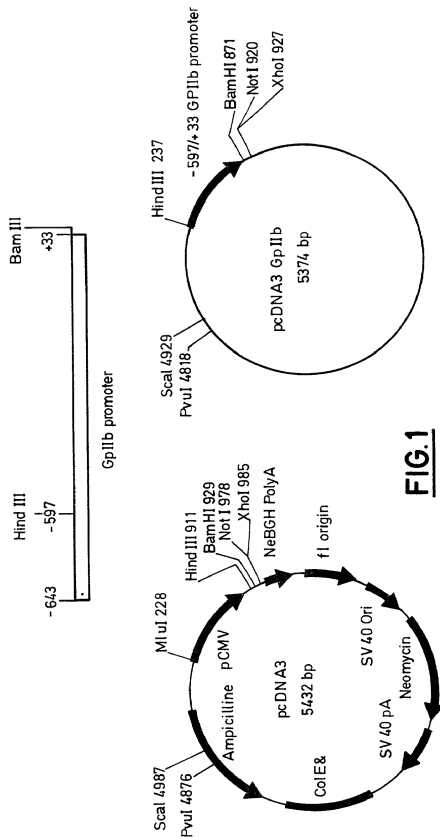
### Abstract

#### **DNA-construct for the tissue-specific expression of a blood coagulation factor**

A DNA-construct has been disclosed which is suitable for a tissue-specific expression of a blood coagulation factor like Factor IX, which comprises a DNA coding for an amino acid sequence of a blood coagulation factor and a DNA coding for a promoter which is specific for the expression in hematopoietic cells.

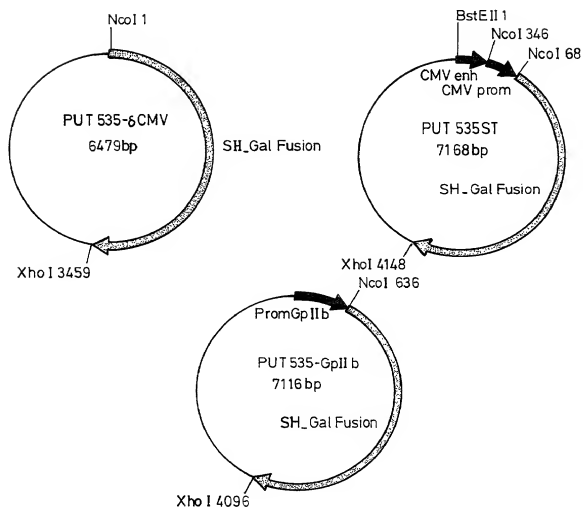


# Cloning of GP1lb promoter in pc DNA3 vector



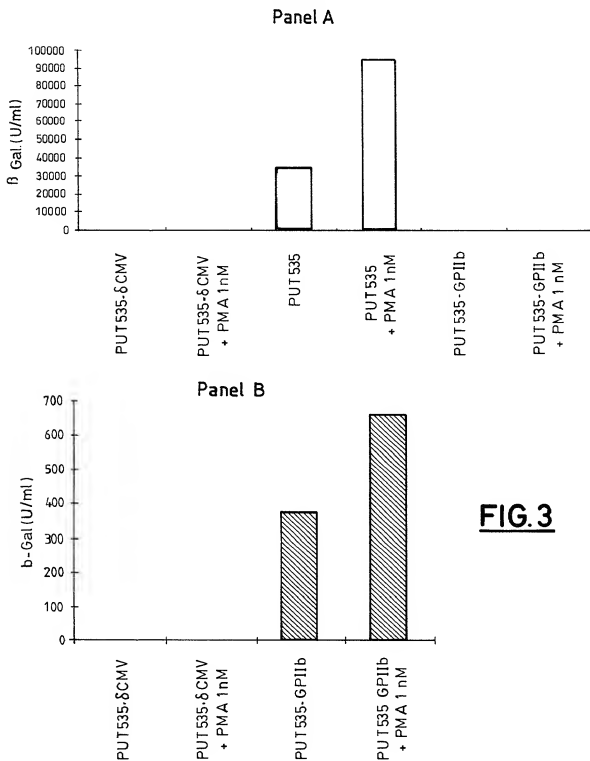
**FIG.1**



**$\beta$ -Galactosidase reporter gene vectors****FIG. 2**



$\beta$ -Gal transient expression directed by GPIIb in HeLa cells  
(panel A) and HEL cells (panel B)



**FIG.3**

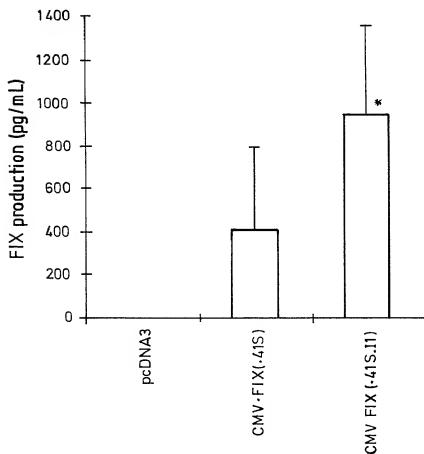


**FIG.4**

**Transient FIX production under CMV promoter control in HEL cells**

**after PMA 1nM induction**

(pcDNA3 was used as reference for statistics (\* represents  $p < 0.05$ ))

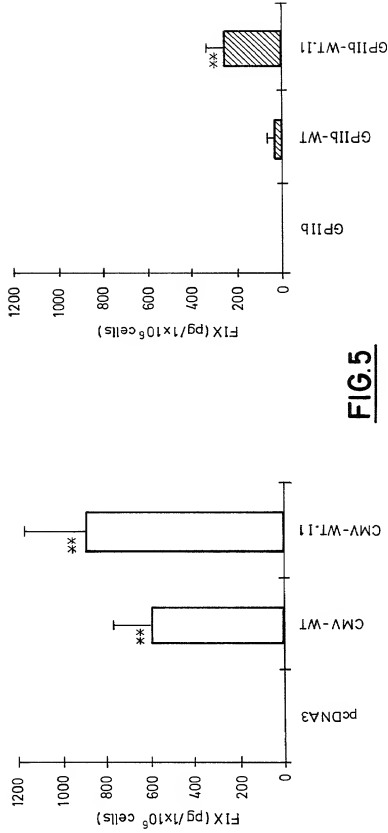




# Transient FIX WT production under CMV or GPIIb promotor control in HEL cells after PMA 1nM induction

pcDNA3 and pcDNA3-GPIIb respectively were used as reference for statistics

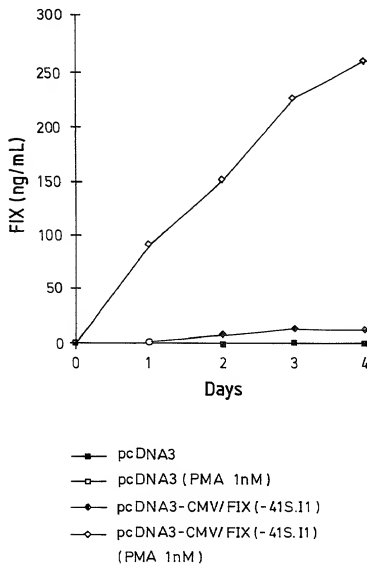
(\*\* represents  $p < 0,01$ )



**FIG.5**



**Daily FIX production using CMV promoter  
in stably transfected HEL cells**



**FIG. 6**

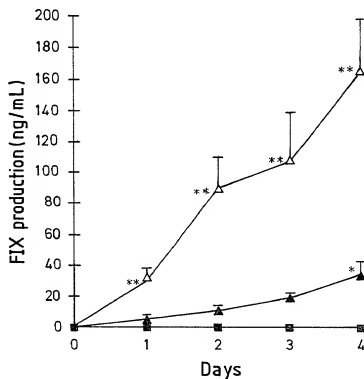


# FIX production under GPIIb promoter in stably transfected

## HEL cells with or without PMA induction

pcDNA3-GPIIb construct was used as reference for statistics

(\* represents  $p < 0.05$ ; \*\* represents  $p < 0.01$ )



- GPIIb
- GPIIb (PMA 1 nM)
- ▲ GPIIb-FIX(-41S.I1)
- △ GPIIb-FIX(-41S.I1) PMA 1 nM

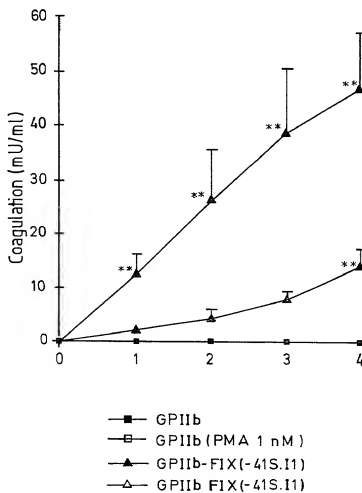
**FIG.7**



# Procoagulant FIX activity in HEL cells supernatants

PcDNA3- GPIIb construct was used as reference for statistics

(\* represents  $p < 0,05$ , \*\*represents  $p < 0,01$ )

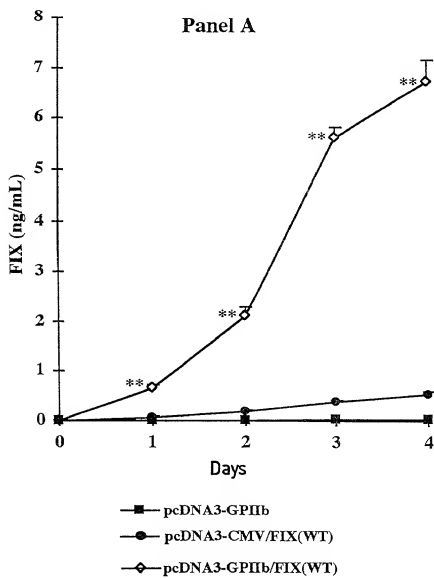


**FIG. 8**



Stable expression of FIX (WT) constructs in HEL-cells,

Panel A: culture without PMA, pcDNA3 and pcDNA3-GPIIB were used as references (\*\*  $p < 0,01$ )

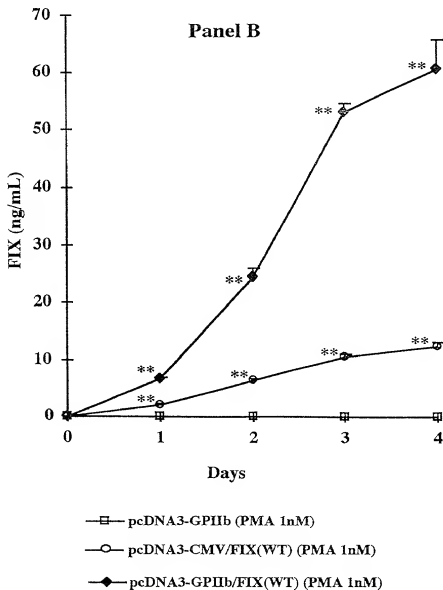


**FIG.9a**



Stable expression of FIX (WT) constructs in HEL-cells.

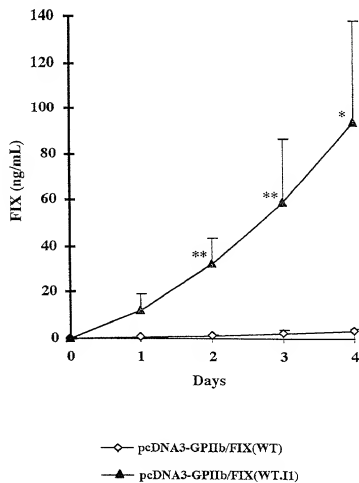
Panel B: culture with PMA 1nM. PcDNA3 and pcDNA3-GPIIb were used as references for statistics (\*\* p< 0.01)



**FIG. 9b**



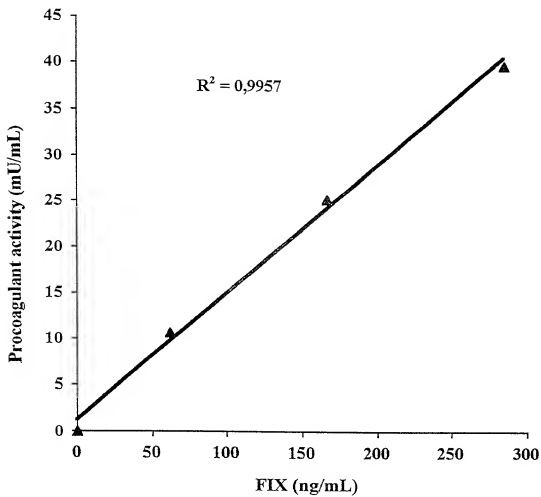
Stable expression of GPIIb-FIX(WT) and GPIIb-FIX(WT.I1) constructs in HEL cells (culture without PMA). pcDNA3-GPIIb/FIX(WT) was used as reference for statistics (\*\*  $p < 0.01$ ; \*  $p < 0.05$ )



**FIG.10**



**FIX procoagulant activity from PMA-stimulated GPIIb-FIX(WT.II) expressing HEL cells. The values represent the mean of 3 individual experiments.**



**FIG.11**



## **DECLARATION AND POWER OF ATTORNEY**

As below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name; I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

### **DNA-construct for the tissue-specific expression of a blood coagulation factor (Case 1999/2004 - Ma 1204 – C36 )**

the specification of which is attached hereto; or was filed as United States Application Serial No. \_\_\_\_\_ on \_\_\_\_\_, and was amended on \_\_\_\_\_

(if applicable); or

was filed as PCT International Application Number \_\_\_\_\_ on \_\_\_\_\_ and was amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to patentability as defined in 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate or § 365(a) of any PCT international application(s), designating at least one country other than the United States, listed below and have also identified below any foreign application(s) for patent or inventor's certificate, or any PCT international application(s) having a filing date before that of the application(s) of which priority is claimed:

<b>Country</b>	<b>Application No.</b>	<b>Date of Filing</b>	<b>Priority Claimed Under 35 U.S.C. 119</b>
<b>European Patent Appl. No. 99107397.4</b>	<b>April 29, 1999</b>	<b>yes</b>	

I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below:

<b>Application Number</b>	<b>Date of Filing</b>
---------------------------	-----------------------

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s) or § 365(c) of any PCT international application(s) designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application(s) in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56 which became



available between the filing date of the prior application(s) and the national or PCT international filing date of this application:

Application Number	Date of Filing	Status (Patented, Pending, Aband.)
--------------------	----------------	------------------------------------

And I hereby appoint the following attorney and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

FINNEGAN, HENDERSON; FARABOW, GARRETT & DUNNER, L.L.P., Reg. No. 22,540; Douglas B. Henderson, Reg. No. 20,291; Ford F. Frabow, Jr., Reg. No. 20,630; Arthus S. Garrett, Reg. No. 20,338; Donald R. Dunner, Reg. No. 19,073; Brian G. Gruns vold, Reg. No. 22,593; Tipton D. Jennings IV, Reg. No. 20,645; Jerry D. Voight, Reg. No. 23,020; Laurence R. Hefter, Reg. No. 20,827; Kenneth E. Payne, Reg. No. 23,098; Herbert H. Mintz, Reg. No. 26,691; C. Larry O'Rourke, Reg. No. 26,014; Albert J. Santorelli, Reg. No. 22,610; Michael C. Elmer, Reg. No. 25,857; Richard H. Smith, Reg. No. 20,609; Stephen L. Peterson, Reg. No. 26,325; John M. Romary, Reg. No. 26,331; Bruce C. Zotter, Reg. No. 27,680; Dennis P. O'Reilly, Reg. No. 27,932; Allen M. Sokal, Reg. No. 26,695; Robert D. Bajefsky, Reg. No. 25,387; Richard L. Stroup, Reg. No. 28,478; David W. Hill, Reg. No. 28,220; Thomas L. Irving, Reg. No. 28,619; Charles E. Lipsey, Reg. No. 28,165; Thomas W. Winland, Reg. No. 27,605; Basil J. Lewis, Reg. No. 28,818; Martin I. Fuchs, Reg. No. 28,805; E. Robert Yoches, Reg. No. 30,120; Barry W. Graham, Reg. No. 29,924; Susan H. Griffen, Reg. No. 30,907; Richard B. Racine, Reg. No. 30,415; Thomas H. Jenkins, Reg. No. 30,857; Robert E. Converse, Jr., Reg. No. 27,432; Clair X. Mullen, Jr., Reg. No. 20,348; Christopher P. Foley, Reg. No. 31,354; John C. Paul, Reg. No. 30,413; David M. Kelly, Reg. No. 30,953; Kenneth J. Meyers, Reg. No. 25,146; Carol P. Einaudi, Reg. No. 32,220; Walter Y. Boyd, Jr., Reg. No. 31,738; Steven M. Anzalone, Reg. No. 32,095; Jean B. Fordis, Reg. No. 32,984; Barbara C. McCurdy, Reg. No. 32,120; James K. Hammond, Reg. No. 31,964; Richard V. Burgujian, Reg. No. 31,744; J. Michael Jakes, Reg. No. 32,824; Dirk D. Thomas, Reg. No. 32,600; Thomas W. Banks, Reg. No. 32,719; Christopher P. Isaac, Reg. No. 32,616; Bryan C. Diner, Reg. No. 32,409; M. Paul Barker, Reg. No. 32,013; Andrew Chanhon Sonu, Reg. No. 33,457; David S. Forman, Reg. No. 33,694; Vincent P. Kovalick, Reg. No. 32,867; James W. Edmondson, Reg. No. 33,871; Michael R. McGurk, Reg. No. 32,045; Joann M. Neth, Reg. No. 36,363; Gerson S. Panitch, Reg. No. 33,751; Cheri M. Taylor, Reg. No. 33,216; Charles E. Van Horn, Reg. No. 40,266; and Linda A. Wadler, Reg. No. 33,218; Jeffrey A. Berkowitz, Reg. No. 36,743; Michael R. Kelly, Reg. No. 33,921; and James B. Monroe, Reg. No. 33,971; and Please address all correspondence to

**FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.**

1300 I Street, N.W., Washington, D.C. 20005, Telephone No. (202) 408-4000.



I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

INVENTOR(S) / Residence

1) NÉGRIER, Claude, 1, allée Louix Vernin, 69540 Irigny

Country of  
Citizenship: France  
Date: 12/02/00

Signature: 

2) RODRIGUEZ, Marie Hélène, 33, rue maryse Bastié,  
69008 Lyon

Country of  
Citizenship: France  
Date: 15/2/00

Signature: 

3) ENJOLRAS, Nathalie, 29 bis rue Pierre Brunier,  
69300 Caluire

Country of  
Citizenship: France  
Date: 15/2/00

Signature: 

**Post Office Address of all Inventors: AVENTIS BEHRING GMBH  
P.O. Box 1230  
D-35002 Marburg  
Germany**



## SEQUENCE LISTING

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